



**The 8th Meeting of the Project Steering Committee for
the SEAFDEC/UNEP/GEF Project on Establishment and Operation of a Regional System of
Fisheries *Refugia* in the South China Sea and Gulf of Thailand**

23 December 2022
(08:30 – 11:30AM, UTC+7)
Virtual Meeting via Zoom Platform

Proposed amendment of Annex 9 of the Mid-term Review Report

Annex 9 Response to comments on the draft MTR

(1) Response to the conclusion in the draft MTR, page no. 9, paragraph 4

A reference is made to one of the conclusions made in the draft MTR on page no. 9 in paragraph 4 stating that *“There have been significant changes (ca. 50% variation from the approved figures) to component 1 and 4 budgets that clearly represent changes of ambition to the expected component activities. These changes should be clearly explained and justified prior to the terminal evaluation”*.

Response #1: The MTR raised the concern that there were significant changes in budget under Component 1 and 4 against the GEF CEO's approval (*i.e.* the CEO Approved budget for Components 1 and 4 is USD 1,304,900 and USD 499,500, respectively). However, Appendix 1-2 of the Project Document endorsed by the CEO comprises two worksheets (among others). Worksheet 1 (**Attachment 1**) is *“Budget per Component - Summary Table - Fisheries Refugia FSP - Regional”* with budget for Components 1 and 4 of USD 1,454,900 and USD 499,500, respectively; while Worksheet 2 (**Attachment 2**) is *“Reconciliation Between GEF Activity Based Budget and UNEP Budget by Expenditure Code (GEF Finance Only)”* with budget for Components 1 and 4 of USD 754,900 and USD 1,199,500, respectively. The use of the project budget and preparation of financial reports by the PCU always refer to Worksheet 2; and throughout the project period, the progress reports and financial reports have officially been submitted to UNEP without any arisen concern from the UNEP Task Manager and Fund Manager.

The conclusion by the MTR on significant changes to component 1 and 4 budgets has been raised at the 7th Project Steering Committee Meeting in May 2022, and it was noted that the evaluator misinterpreted the budget at design and therefore concluded this wrongly in the report. The UNEP Task Manager also mentioned that the MTR assessment was actually not adequate. This is because the evaluator was analyzing the budget with today's GEF rules although this project has been designed many months ago and does not follow the same budget presentation. After this was made clear to the evaluator, he accepted that this was the wrong understanding and the wrong conclusion (*Refer to Paragraph 43 of 7th SCM Report*).

(2) Response to the recommendations in the draft MTR

The responses of Project Director of the project for each recommendation mentioned in the draft MTR are as follows:

Recommendation 1 To: Project Co-ordination Unit/Executing Agency: *Should seek an additional project extension to complete the remaining work and utilise the budget to deliver expected activities, especially for the countries that have achieved 50% or less of expected outputs.*

Response #2: The project which was originally scheduled to complete in December 2020 had been extended until June 2023 (with technical closure by December 2022). During this extension period, all participating countries have accelerated their process to implement the remaining activities as committed using their respective allocated budget. The participating countries' works on policy reformation and development of management plans for the refugia sites as well as development/amendment of the national guidelines are found to have good progress, indicating the prospect of the project to come up with more achievements in the coming quarters. There has been no request by the project participating countries to further extend the project beyond 2022.

The Project Co-ordination Unit should explore what resources could be available from the South China Sea Strategic Action Programme implementation project to enable the finalisation of the fisheries refugia project.

Response #3: For the remaining period towards FR project technical activity completion by December 2022, the PCU of the Fisheries Refugia (FR) project will do its best to communicate with the PCU of the South China Sea (SCS) project in order to explore the possibility of mobilizing available resources of the SCS project to support FR project participating countries that could not complete their activities (if any). However, one of the major challenges in doing so is that the SCS project has no Senior Project Manager onboard for a long period. Currently, the coordination and collaboration between the two projects could be made only with the existing staff of the SCS project.

Recommendation 2 To: Project Co-ordination Unit/Executing Agency:

Irrespective of Recommendation 1 being accepted, the Project Co-ordination Unit should revise workplan and Results Framework to ensure that these reflect the current situation and budgets to deliver all remaining expected activities and outputs to be achieved.

Response #4: As mentioned in Response #1, the budget allocated for component 1 and component 4 has been spent according to the approved budget by GEF's CEO (Appendix-1-2). There is no change in budget allocation, therefore, there should be no requirement for the PCU to revise the workplan and Project Results Framework as recommended by the MTR.

There is an opportunity at the Mid-Term Review to present realistic deliverables that reflect the 10% reduction of unspent budgets that might have an impact on what can be achieved by the pilots at the national/local level.

Response #5: It should also be recalled that during the COVID-19 pandemic from 2020 to 2021, all executing partners decreased the expenditures for project activities especially the costs of meeting and traveling. Activities were also delayed due to the COVID situation, making it necessary for the project to extend for two more years. The 10% reduction from the six countries' balanced budget as of 31 December 2019 to support the management cost for a two-year extension from 2021 to 2022 was therefore endorsed at 3rd PSC Ad-hoc Meeting in June 2020. The national workplan of the respective countries were also adjusted based on the revised budget while ensuring that the expected outputs from the project could be achieved.

The Project Co-ordination Unit should also prepare a clear statement of the significant project component changes (from the Endorsed CEO Document) with justifications and an assessment of the impacts on the intended ambition of the project.

Response #6: As mentioned in Response #1, the budget allocated for component 1 and component 4 has been spent according to the approved budget by GEF's CEO. Therefore, there should be no requirement for the PCU to prepare a statement of the significant project component changes.

Recommendation 3 To: Project Co-ordination Unit: Collate and analyse disaggregated sex data of participants involved in project activities.

The project has collected sex disaggregate information from workshops and meetings which is commendable. It would be beneficial to present this information in the next Project Implementation Review report and have the data analysed prior to the Terminal Evaluation.

Response #7: The PCU presented the results of sex-disaggregated information at the RSTC6 meeting held in July 2022. The PCU also reported the preliminary results of the sex-disaggregated analysis in the Quarterly Report for 2021-2022 submitted to the UNEP in August 2022. In addition, an article on "Balancing Rights through Gender Equality in the GEF Fisheries Refugia Project" was drafted and uploaded to the Refugia Website in September 2022 (<https://fisheries-refugia.org/270-balancing-rights-through-gender-equality-in-the-gef-fisheries-refugia-project>).

Recommendation 4 To: Project Co-ordination Unit Develop a clear Exit Strategy for the regional and national sustainability and replication of the activities.

The project has collected a wealth of experiences and information from the pilot sites and regional activities, much of which is presented on the website(s) and at various IW: LEARN and other organisations' events. The Mid-Term Review recommends that the project managers of this project and South China Sea Strategic Action Programme implementation project brainstorm shared approaches to address their project needs. The South China Sea project requires an update of the fishery aspects of the 2008 Strategic Action Programme and the fisheries refugia project needs to complete the project (e.g. Indonesia and Viet Nam) to the level of detail expected in the GEF CEO Endorsement Document.

Response #8: Considering the remaining time until the FR project technical closure by 2022, the FR-PCU plans to undertake activities that are complementary to the SCS SAP Project as follows:

- 1) Sharing of good practices from the FR project, especially on the "Integrated Management between Fisheries and habitats," to the SCS SAP implementation project. The FR-PCU and FR-regional scientific and technical committee joined the SCS-SAP RTSC1 in October 2022 and committed to sharing good practices with the SCS-SAP Implementation Project by the end of November 2022.
- 2) Sharing of existing data/information and the established site-based management boards considering the same stakeholders at community levels for establishing fisheries refugia or marine protected areas.
- 3) Conduct the Joint meeting between Fisheries Refugia and SCS-SAP Implementation Project, particularly the RSTC and PSC meetings, at least once before the refugia project end to ensure the national focal point from fisheries refugia can continue their support to the SCS SAP Implementation project after the FR-project ended in 2022.
- 4) Ensuring the fisheries refugia website, as one of the three SCS project websites, is continually maintained and served at the permanent hosting server such as GEF IW-Learn networking.

Recommendation 5 To: Project Co-ordination Unit: Preparation of GEF IW: LEARN Experience Notes.

GEF International Waters recommends the preparation of Experience Notes by projects based on 11 practical lessons from the execution. This project has a number of key aspects that would merit sharing through this mechanism including stakeholder involvement in pilot locations (design, implementation and management), lessons from gaining acceptance to the fisheries refugia concept, coastal ecosystem management, etc.

Response #9: the PCU has discussed the development of GEF-IW LEARN Experience Notes at the RSTC6 Meeting held in July 2022, and revisited the subject at RSTC7 Meeting in November 2022. As a result, six (6) Experience Notes from respective countries are in finalizing process which is expected to be completed by November 2022. In addition, the PCU plans to develop special Experience Notes to showcase the overall project achievement after the project ends in 2022. The PCU plans to disseminate this special Experience Note by the 1st quarter of 2023.

Recommendation 6 To: UNEP and Executing Agency:

Ensure regional and national staff (and any replacement staff) engaged in financial management are briefed on the requirements of IA and EA at the start of the project. Stakeholders and the UNEP Fund Management Officer identified that staff and consultants were not sufficiently familiar with the requirements of financial reporting. The Fund Management Officer suggested that a training session is provided at project inception meetings to act as an induction course on the approaches for complying with UNEP financial reporting and the expectation of the GEF as the donor.

Response #10: SEAFDEC/PCU agrees with the recommendations to provide training sessions on financial management to all relevant countries and consultants. It should also be recalled that there were changes in financial reporting template of the UNEP during the course of implementation (to use (UMOJA) without notification or clear instruction to SEAFDEC. If training sessions could be provided to SEAFDEC and participating countries during the inception phase (or when changing reporting template), the preparation and submission of financial reports could be more compliant to the requirement of UNEP and GEF. Moreover, SEAFDEC also faced with delay responses from UNEP when facing with financial (or other) issues. If the response from UNEP could be provided in a more timely manner, the issues or difficulties faced could have been solved more effectively.

(3) Other views from SEAFDEC

Response #11: As SEAFDEC is an executing agency for the Fisheries Refugia Project, the MTR should also gather views from SEAFDEC (not only the PCU) at both technical and management levels during the evaluation process including inception and debriefing of the MTR findings in order to ensure that the views from all concerned partners be properly reflected and accommodated in the Report.

Attachment 1

Budget per Component - Summary Table - Fisheries Refugia FSP - Regional				
Project Components/Activities/Sub-activities		GEF Funding	Co-Financing	Total Project Cost
Component 1	Identification and management of fisheries and critical habitat linkages at priority fisheries refugia in the South China Sea	1,454,900	3,989,523	5,444,423
Activity 1.1	Developing fisheries and coastal habitat information and data collection programmes for 14 priority fisheries refugia sites	110,600	704,167	814,767
Sub-Activity 1.1.1	Review existing information and data on fisheries and coastal habitats at 14 sites, including identification of management needs	28,000	82,673	110,673
Sub-Activity 1.1.2	National consultation workshops to secure community and fisherfolk support in information & data collection	18,200	96,004	114,204
Sub-Activity 1.1.3	Design and conduct site-based surveys to produce fisheries and habitat profile report for 14 sites	32,400	61,643	94,043
Sub-Activity 1.1.4	Design and conduct fisheries surveys at the 14 sites and submit data to national & regional online databases	32,000	463,848	495,848
Activity 1.2	Facilitating agreement among stakeholders on the boundaries of fisheries refugia at 14 priority fisheries refugia sites	455,800	566,437	1,022,237
Sub-Activity 1.2.1	Conduct consultations (including at-sea) to draft maps of fisheries refugia for priority species	85,800	174,629	260,429
Sub-Activity 1.2.2	Prepare maps for 14 refugia and elicit fisherfolk input to boundary delineation through consultation	127,200	132,988	260,188
Sub-Activity 1.2.3	Conduct assessment of environmental and social impacts of designation of sites as refugia	116,000	169,346	285,346
Sub-Activity 1.2.4	Secure formal municipal/local government designation of site as fisheries refugia	126,800	89,474	216,274
Activity 1.3	Developing Community-Based Management Plans for 14 priority fisheries refugia sites	369,300	863,184	1,232,484
Sub-Activity 1.3.1	Consultations to identify key threats to fisheries refugia sites and identify management measures	44,400	172,646	217,046
Sub-Activity 1.3.2	Management plans for 14 sites developed through community-based consultations	69,000	181,502	250,502
Sub-Activity 1.3.3	Regulations/rules required for refugia management drafted with fisherfolk and local authorities	131,500	271,495	402,995
Sub-Activity 1.3.4	Management plans adopted by local authorities and regulatory reforms enacted	124,400	237,540	361,940
Activity 1.4	Establishing operational management for 14 priority fisheries refugia sites	497,800	1,628,408	2,126,208
Sub-Activity 1.4.1	Establish management teams and site-based volunteer networks at 14 sites	48,000	215,940	263,940
Sub-Activity 1.4.2	Conduct practical capacity building programme for management volunteers at 14 sites	56,400	279,972	336,372
Sub-Activity 1.4.3	Coordinate monthly training and awareness activities at 14 sites, including pilot mgmt activities	80,000	326,756	406,756
Sub-Activity 1.4.4	Develop and implement collaborative observer and enforcement programmes for management plan implementation at 14 sites	313,400	805,740	1,119,140
Activity 1.5	Strengthening civil society and community organization participation in the management of 14 fisheries refugia sites	21,400	227,326	248,726
Sub-Activity 1.5.1	Support local GEF Small Grant Programme proponents in design and execution of projects	16,000	114,948	130,948
Sub-Activity 1.5.2	Document and share examples of best practice at regional and national levels in the 6 countries (share with IWLEARN)	5,400	112,378	117,778
Component 2	Improving the management of critical habitats for fish stocks of transboundary significance via national and regional actions to strengthen the enabling environment and knowledge-base for fisheries refugia management in the South China Sea	746,000	5,313,217	6,059,217
Activity 2.1	Enhancing policy guidance for improved management of the effects of fishing on critical habitats in the 6 participating countries	84,000	675,558	759,558
Sub-Activity 2.1.1	Identify and document key threats from fishing and the environment to fish stock and critical habitat linkages at 14 priority sites in the 6 participating countries	18,000	147,920	165,920
Sub-Activity 2.1.2	Formulate recommendations on policy and legal reforms to support promotion of responsible fishing at 14 priority sites in the 6 participating countries	12,000	261,609	273,609
Sub-Activity 2.1.3	Facilitate consultations with fisheries industry and competent authorities on policy reforms for responsible fishing gear and practices in the participating countries	42,000	138,520	180,520
Sub-Activity 2.1.4	National policy reform to promote fisheries sector's sustainable use of fish habitats and biodiversity	12,000	127,509	139,509
Activity 2.2	Defining the policy and legal basis for formal designation and establishment of fisheries refugia in the 6 participating countries	42,000	331,734	373,734
Sub-Activity 2.2.1	Reviews of policy and legal aspects on refugia (terminology, procedures, recommended reforms) in the 6 participating countries	30,000	221,560	251,560
Sub-Activity 2.2.2	National expert consultations to formulate agreed recommendations for policy and legal reforms in the 6 participating countries	12,000	110,174	122,174
Activity 2.3	Development of national guidelines on the establishment and operation of fisheries refugia and reflected in an updated regional refugia action plan	64,000	459,459	523,459
Sub-Activity 2.3.1	Draft national guidelines (based on 2.1.2) on procedures for designation and mgmt of fisheries refugia in 6 participating countries	30,000	128,231	158,231
Sub-Activity 2.3.2	National and local consultative process to elicit stakeholder input to the draft guidelines	28,000	126,492	154,492
Sub-Activity 2.3.3	Amend and finalise national guidelines for approval by National Fisheries Refugia Committees in 6 countries	6,000	94,736	100,736
Sub-Activity 2.3.4	Draft regional refugia action plan	0	110,000	110,000
Activity 2.4	Reforming national and regional policy, legal and planning frameworks for demarcating boundaries and managing refugia	126,000	533,388	659,388
Sub-Activity 2.4.1	Based on 2.1.2 & 2.1.3, draft required policy and legal reforms to support refugia establishment and mgmt in 6 participating countries	30,000	118,260	148,260
Sub-Activity 2.4.2	Convene national and local stakeholder consultations to review draft text for adoption in 6 countries	18,000	150,780	168,780
Sub-Activity 2.4.3	Facilitate approval and adoption of reforms by the authorities at national and provincial levels for 14 priority sites in 6 countries	18,000	161,458	179,458
Sub-Activity 2.4.4	Develop Regional Action Plan for the management of refugia in coastal areas of the 6 participating countries	60,000	102,890	162,890
Activity 2.5	Enhancing access to information relating to status and trends in fish stocks and their habitats in waters of the SCS marine basin	96,000	652,652	748,652
Sub-Activity 2.5.1	Compile information and data derived from abundance surveys in 6 countries for longer-term management	18,000	132,284	150,284
Sub-Activity 2.5.2	Compile information and data derived from surveys on size-frequency of priority species in 6 countries	18,000	130,324	148,324
Sub-Activity 2.5.3	Compile information and data on landings of priority species (volume/value, fishing areas and gears) in South China Sea waters	30,000	146,420	176,420
Sub-Activity 2.5.4	Produce annual syntheses reports of new and additional information for national and regional review	15,000	123,200	138,200
Sub-Activity 2.5.5	Revise national reports on fish stocks and habitats in the South China Sea for each 6 participating countries	15,000	120,424	135,424
Activity 2.6	Improved national and regional-level management and sharing of information and data on fish early life history in the waters of the SCS	117,000	1,388,242	1,505,242
Sub-Activity 2.6.1	Prepare 6 national and 1 regional inventory of fish egg and larvae samples collected from SCS waters of the 6 participating countries	30,000	221,220	251,220
Sub-Activity 2.6.2	Develop and maintain 6 national databases and 1 regional database of fish egg and larval fish distribution and abundance	45,000	389,180	434,180
Sub-Activity 2.6.3	Convene annual one-day workshops in the 6 participating countries to monitor the implementation of national programmes for the processing/analysis of fish egg and larvae samples	12,000	387,242	399,242
Sub-Activity 2.6.4	Prepare annual status reports on fish early life history research for each participating country for regional review	30,000	390,600	420,600
Activity 2.7	Enhancing access to information relating to the locations and status of coastal habitats and management areas in the SCS	90,000	454,368	544,368
Sub-Activity 2.7.1	Compile and update information and data in 6 * National and 1 * regional Google Earth based GIS on: distribution of habitats; known spawning areas; locations of refugia; MPAs; fisheries management areas; critical habitats for endangered species	72,000	223,508	295,508
Sub-Activity 2.7.2	Prepare annual synthesis of new and additional information included in databases (2.7.1)	18,000	230,860	248,860
Activity 2.8	Strengthening the information base for the planning, monitoring and evaluation of management at priority fisheries refugia sites	27,000	37,816	64,816
Sub-Activity 2.8.1	Based on 1.1.4, produce detailed site characterizations for 14 priority refugia for incorporation into national and regional datasets	27,000	37,816	64,816
Activity 2.9	Improving basin-wide understanding of linkages between ocean circulation patterns, nutrient/chlorophyll concentrations, and sources and sinks of fish larvae in the South China Sea	40,000	420,000	460,000
Sub-Activity 2.9.1	Development of modelling system linking oceanographic, biochemical, and fish early life history information for management	40,000	340,000	380,000
Sub-Activity 2.9.2	Publication of report on application of modeling system in identifying priority locations for replication and scaling-up best practices	0	80,000	80,000
Activity 2.10	Regionally and locally appropriate best practices generated to address the effects of trawl and push net fishing on seagrass habitat, and the capture of juveniles, pre-recruits and fish in spawning condition	60,000	360,000	420,000
Sub-Activity 2.10.1	Best practice fishing methods and practices to address threats to fish stock and habitat linkages demonstrated at priority refugia	60,000	360,000	420,000
Component 3	Information Management and Dissemination in support of national and regional-level implementation of the fisheries refugia concept	299,600	1,792,055	2,091,655
Activity 3.1	Enhancing uptake of best practices in integrating fisheries management and biodiversity conservation in the 6 participating countries	87,600	672,371	759,971
Sub-Activity 3.1.1	Quarterly capture and documentation of best practices in the establishment and operation of fisheries refugia in the 6 countries	28,800	248,848	277,648
Sub-Activity 3.1.2	Online catalogue of best practices approaches and measures developed and updated each 6 months	30,000	174,373	204,373
Sub-Activity 3.1.3	6 monthly development of communications on best practices for dissemination and syndication, both nationally and regionally	28,800	249,150	277,950
Activity 3.2	Improving community acceptance of area based approaches to marine management in the 6 participating countries	78,000	332,384	410,384
Sub-Activity 3.2.1	Produce locally appropriate public awareness and outreach materials to promote local social, economic and environmental benefits of fisheries refugia	24,000	52,884	76,884
Sub-Activity 3.2.2	In connection with activity 1.4.3, implement targeted annual outreach programmes at priority communities at the 14 sites in the SCS	24,000	253,576	277,576
Sub-Activity 3.2.3	Benchmark and annually track community acceptance of refugia approach as a marine spatial planning tool	30,000	25,924	55,924
Activity 3.3	Knowledge generated and experiences from establishing and operating fisheries refugia captured and shared nationally, regionally, and globally	30,000	391,300	421,300
Sub-Activity 3.3.1	Establish and operate 6 national and 1 regional web portals for knowledge management on fisheries refugia	30,000	69,500	99,500
Sub-Activity 3.3.2	Prepare and publish 6 GEF International Waters Experience Note on application of refugia approach at the national level	0	25,800	25,800

Activity 3.4	Information and Education Campaigns for small-scale fisherfolk on the links between fisheries, habitats and biodiversity coordinated regionally through a Regional Education and Awareness Centre	44,000	296,000	340,000
Sub-Activity 3.4.1	Establishment of Regional Education and Awareness Centre on fisheries and critical habitats	15,000	226,000	241,000
Sub-Activity 3.4.2	Production (and regional-level sharing) of information and education materials for fisheries refugia management	29,000	70,000	99,000
Activity 3.5	Standardised methods for collection and analysis of information and data for use in assessing impacts of refugia and design appropriate indicators for the longer-term operation of the regional system of fisheries refugia	60,000	100,000	160,000
Sub-Activity 3.5.1	Develop standardised information and data collection procedures in support of a regional system of fisheries refugia	40,000	50,000	90,000
Sub-Activity 3.5.2	Regional consultation to agree on stress reduction and environmental state indicators for managed refugia	20,000	50,000	70,000
Component 4	National and regional cooperation and coordination for integrated fish stock and critical habitat management in the South China Sea	499,500	1,623,056	2,122,556
Activity 4.1	Strengthened cross-sectoral coordination in the establishment and operation of fisheries refugia in the participating countries	24,000	359,198	383,198
Sub-Activity 4.1.1	Develop and agree ToR, membership & operational rules for National Fisheries Refugia Committee's (or equivalent) for 6 countries	0	18,316	18,316
Sub-Activity 4.1.2	Establish and convene quarterly meetings of the National Fisheries Refugia Committee (NFRC) (or equivalent) for 6 countries	24,000	291,394	315,394
Sub-Activity 4.1.3	NFRC review and endorsement of quarterly work plans and progress and financial reports, including tracking of continuity of participation of stakeholders, in each of the 6 participating countries	0	37,964	37,964
Sub-Activity 4.1.4	National NFRC inputs to mid-term review and terminal evaluation of national and regional aspects of project in 6 countries	0	11,524	11,524
Activity 4.2	Harnessing national scientific and technical expertise and knowledge to inform policy, legal and institutional reforms for fisheries refugia	12,000	218,398	230,398
Sub-Activity 4.2.1	Establish & convene 6 monthly meetings of the National Scientific and Technical Committee (or equivalent) in each of the six countries	12,000	178,236	190,236
Sub-Activity 4.2.2	Provision of technical and scientific inputs to planning of activities in components 1, 2 and 3 led by National Lead Agencies in each of 6 participating countries	0	40,162	40,162
Activity 4.3	Catalyzing local community action via establishment and operation of site-based management boards at 14 priority refugia sites	53,500	445,460	498,960
Sub-Activity 4.3.1	Review governance arrangements at each site to identify required ToR and membership of site-based management boards, including links to other local planning bodies	0	0	0
Sub-Activity 4.3.2	Establish and convene quarterly meetings of site-based management boards at the 14 sites	0	33,960	33,960
Sub-Activity 4.3.3	Preparation of quarterly work plans and progress and financial reports on activities at each of the 14 sites	53,500	411,500	465,000
Activity 4.4	Regional cooperation in the integration of scientific knowledge and research outputs with management and policy making	140,000	100,000	240,000
Sub-Activity 4.4.1	Establishment and operation of the Regional Scientific and Technical Committee (biannual meetings)	140,000	100,000	140,000
Activity 4.5	Regional cooperation in the establishment and operation of a regional system of fisheries refugia	120,000	100,000	220,000
Sub-Activity 4.4.2	Establishment and operation of a regional Project Steering Committee (PSC) (annual meetings)	120,000	100,000	220,000
Activity 4.6	Effective coordination of regional and national-level activities and reporting requirements of UNEP and GEF satisfied	150,000	400,000	550,000
Sub-Activity 4.6.1	Establishment and operation of the regional Project Coordinating Unit, including appointment and retention of Chief Technical Advisor/Regional Project Manager	150,000	400,000	550,000
	TOTAL PROJECT COST (\$)	3,000,000	12,717,850	15,717,850

RECONCILIATION BETWEEN GEF ACTIVITY BASED BUDGET AND UNEP BUDGET BY EXPENDITURE CODE (GEF FINANCE ONLY) - OVERALL

Project No: 5401 (GEF_ID)

Project Name: Establishing and Operating a Regional System of Fisheries Refugia in the South China Sea and Gulf of Thailand

Executing Agency: Southeast Asian Fisheries Development Center

Funding Source: GEF Trust Fund (International Waters)

UNEP BUDGET LINE/OBJECT OF EXPENDITURE	BUDGET ALLOCATION PER PROJECT COMPONENT				ALLOCATION BY CALENDAR YEAR				Total
	I	II	III	IV	Year 1	Year 2	Year 3	Year 4	
	US\$	US\$	US\$	US\$	US\$	US\$	US\$	US\$	US\$
10 PROJECT PERSONNEL COMPONENT									
1100 Project Personnel w/m									
1101 C1 - Identification and management of fisheries refugia	84,800	-	-	-	57,500	21,900	2,700	2,700	84,800
1102 C2 - Strengthening the enabling environment & knowledge base for management	-	105,000	-	-	27,000	44,000	23,000	11,000	105,000
1103 C3 - Information management and dissemination	-	-	57,600	-	14,400	14,400	14,400	14,400	57,600
1104 C4 - National and Regional Coordination and Cooperation	-	-	-	-	-	-	-	-	-
1199 Sub-Total	84,800	105,000	57,600	-	98,900	80,300	40,100	28,100	247,400
1200 Consultants w/m									
1201 C1 - Identification and management of fisheries refugia	118,500	-	-	-	59,000	46,000	13,500	-	118,500
1202 C2 - Strengthening the enabling environment & knowledge base for management	-	153,000	-	-	39,000	54,000	60,000	-	153,000
1203 C3 - Information management and dissemination	-	-	40,000	-	-	20,000	20,000	-	40,000
1204 C4 - National and Regional Coordination and Cooperation	-	-	-	500,000	125,000	125,000	125,000	125,000	500,000
1299 Sub-Total	118,500	153,000	40,000	500,000	223,000	245,000	218,500	125,000	811,500
1600 Travel on official business (above staff)									
1601 C1 - Identification and management of fisheries refugia	64,000	-	-	-	18,800	34,400	8,100	2,700	64,000
1602 C2 - Strengthening the enabling environment & knowledge base for management	-	12,000	-	-	-	6,000	6,000	-	12,000
1603 C3 - Information management and dissemination	-	-	-	-	-	-	-	-	-
1604 C4 - National and Regional Coordination and Cooperation	-	-	-	120,000	30,000	30,000	30,000	30,000	120,000
1699 Sub-Total	64,000	12,000	-	120,000	48,800	70,400	44,100	32,700	196,000
Component Total	267,300	270,000	97,600	620,000	370,700	395,700	302,700	185,800	1,254,900
20 SUB-CONTRACT COMPONENT									
2100 Sub-contracts (MoU's/LA's for UN cooperating agencies)									
2101 N/A	-	-	-	-	-	-	-	-	-
2199 Sub-Total	-	-	-	-	-	-	-	-	-
2200 Sub-contracts (MoU's/LA's for non-profit supporting organizations)									
2201 C1 - Identification and management of fisheries refugia	335,000	-	-	-	16,000	28,000	146,500	144,500	335,000
2202 C2 - Strengthening the enabling environment & knowledge base for management	-	331,000	-	-	60,000	104,000	113,000	54,000	331,000
2203 C3 - Information management and dissemination	-	-	83,000	-	12,000	30,000	21,000	20,000	83,000
2204 C4 - National and Regional Coordination and Cooperation	-	-	-	-	-	-	-	-	-
2299 Sub-Total	335,000	331,000	83,000	-	88,000	162,000	280,500	218,500	749,000
2300 Sub-contracts (commercial purposes)									
2301 C1 - Identification and management of fisheries refugia	-	-	-	-	-	-	-	-	-
2302 C2 - Strengthening the enabling environment & knowledge base for management	-	-	-	-	-	-	-	-	-
2303 C3 - Information management and dissemination	-	-	75,000	-	24,000	12,000	19,500	19,500	75,000
2304 C4 - National and Regional Coordination and Cooperation	-	-	-	100,000	25,000	25,000	25,000	25,000	100,000
2399 Sub-Total	-	-	75,000	100,000	49,000	37,000	44,500	44,500	175,000
Component Total	335,000	331,000	158,000	100,000	137,000	199,000	325,000	263,000	924,000
30 TRAINING COMPONENT									
3200 Group training (study tours, field trips, workshops, seminars, etc) (give title)									
3201 C1 - Identification and management of fisheries refugia	108,800	-	-	-	72,800	25,000	5,000	6,000	108,800
3202 C2 - Strengthening the enabling environment & knowledge base for management	-	73,000	-	-	-	46,000	24,000	3,000	73,000
3203 C3 - Information management and dissemination	-	-	24,000	-	-	12,000	6,000	6,000	24,000
3204 C4 - National and Regional Coordination and Cooperation	-	-	-	-	-	-	-	-	-
3299 Sub-Total	108,800	73,000	24,000	-	72,800	83,000	35,000	15,000	205,800
3300 Meetings/conferences (give title)									
3301 C1 - Identification and management of fisheries refugia	30,000	-	-	-	6,000	16,000	8,000	-	30,000
3302 C2 - Strengthening the enabling environment & knowledge base for management	-	60,000	-	-	3,000	33,000	21,000	3,000	60,000
3303 C3 - Information management and dissemination	-	-	20,000	-	-	-	20,000	-	20,000
3304 C4 - National and Regional Coordination and Cooperation	-	-	-	289,500	73,000	72,500	72,500	71,500	289,500
3399 Sub-Total	30,000	60,000	20,000	289,500	82,000	121,500	121,500	74,500	399,500
Component Total	138,800	133,000	44,000	289,500	154,800	204,500	156,500	89,500	605,300
40 EQUIPMENT & PREMISES COMPONENT									
4100 Expendable equipment (items under \$1,500 each, for example)									
4101	-	-	-	-	-	-	-	-	-
4199 Sub-Total	-	-	-	-	-	-	-	-	-
4200 Non-expendable equipment (computers, office equip, etc)									
4201 C1 - Identification and management of fisheries refugia	-	-	-	-	-	-	-	-	-
4202 C2 - Strengthening the enabling environment & knowledge base for management	-	-	-	-	-	-	-	-	-
4203 C3 - Information management and dissemination	-	-	-	-	-	-	-	-	-
4204 C4 - National and Regional Coordination and Cooperation	-	-	-	50,000	20,000	10,000	10,000	10,000	50,000
4299 Sub-Total	-	-	-	50,000	20,000	10,000	10,000	10,000	50,000
4300 Premises (office rent, maintenance of premises, etc)									
4301	-	-	-	-	-	-	-	-	-
4399 Sub-Total	-	-	-	-	-	-	-	-	-
Component Total	-	-	-	50,000	20,000	10,000	10,000	10,000	50,000
50 MISCELLANEOUS COMPONENT									
5100 Operation and maintenance of equip.									
5101	-	-	-	-	-	-	-	-	-
5199 Sub-Total	-	-	-	-	-	-	-	-	-
5200 Reporting costs (publications, maps, newsletters, printing, etc)									
5201 C1 - Identification and management of fisheries refugia	13,800	-	-	-	-	2,800	11,000	-	13,800
5202 C2 - Strengthening the enabling environment & knowledge base for management	-	12,000	-	-	-	12,000	-	-	12,000
5203 C3 - Information management and dissemination	-	-	-	-	-	-	-	-	-
5204 C4 - National and Regional Coordination and Cooperation	-	-	-	40,000	10,000	10,000	10,000	10,000	40,000
5299 Sub-Total	13,800	12,000	-	40,000	10,000	24,800	21,000	10,000	65,800
5300 Sundry (communications, postage, freight, clearance charges, etc)									
5301	-	-	-	-	-	-	-	-	-
5399 Sub-Total	-	-	-	-	-	-	-	-	-
5400 Hospitality and entertainment									
5401	-	-	-	-	-	-	-	-	-
5499 Sub-Total	-	-	-	-	-	-	-	-	-
5500 Evaluation (consultants fees/travel/DSA, admin support, etc. internal projects)									
5501 C1 - Identification and management of fisheries refugia	-	-	-	-	-	-	-	-	-
5502 C2 - Strengthening the enabling environment & knowledge base for management	-	-	-	-	-	-	-	-	-
5503 C3 - Information management and dissemination	-	-	-	-	-	-	-	-	-
5504 C4 - National and Regional Coordination and Cooperation	-	-	-	100,000	-	40,000	-	60,000	100,000
5599 Sub-Total	-	-	-	100,000	-	40,000	-	60,000	100,000
Component Total	13,800	12,000	-	140,000	10,000	64,800	21,000	70,000	165,800
TOTAL COSTS	754,900	746,000	299,600	1,199,500	692,500	874,000	815,200	618,300	3,000,000